Attorney Docket No.: 021447-000710US



re application of:

Csaba Truckai et al.

Application No.: 10/676,841

Filed: September 30, 2003

For: ELECTROSURGICAL

INSTRUMENT AND METHOD OF USE

Examiner: Unassigned

Art Unit: 3762

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the non US patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Typed or printed name	Christopher R. Fitting				
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Csaba Truckai et al.

Application No.: 10/676,841

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

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JMH:crf 60100779 v1

Substitu	ute for form 1449A/	PTO			Complete if Known
	•			Application Number	10/676,841
INF	ORMATIO	N DISC	LOSURE	Filing Date	September 30, 2003
STA	TEMENT	<b>BY API</b>	PLICANT	First Named Inventor	Truckai, Csaba
				Art Unit	3762
•	(use as many	sheets as ne	cessary)	Examiner Name	Unassigned
Sheet	4	of	5	Attorney Docket Number	021447-000710US

			U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	ET	US-2003/0144652 A1	07-31-2003	BAKER ET AL.	
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Examiner Initials*	Cite No.1	For		ment  Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>8</sup>
	FJ 4	EP	517 244	B1	03-20-1996			
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	FU t	GB	2066104	A	07-08-1981			
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<del></del>	FW †	GB	2133290	A	07-25-1984			
								<u></u>

Examiner Signature	Date Considered	

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Substitute for	or form 1449B/PT	0		Complete if Known		
		D10	OL OCUPE	Application Number	10/676,841	
INFORMATION DISCLOSURE				Filing Date	September 30, 2003	
STAT	STATEMENT BY APPLICANT		First Named Inventor	Truckai, Csaba		
	. 4			Art Unit	3762	
(u	se as many sh	eets as	necessary)	Examiner Name	Unassigned	
Sheet	5	of	5	Attorney Docket Number	021447-000710US	

	NON PATENT LITERATURE DOCUMENTS	
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
FX 4	CORSON, S.L., "Two new laparoscopic instruments: Bipolar sterilizing forceps and uterine manipulator," Medical Instrumentation, 11(1):7-8 (1977).	
FY 1	BURTON, J.D.K., "New Inventions," The Lancet, pages 650-651 (1959).	
FZ	NARDELLA, P.C., "Radio Frequency Energy and Impedance Feedback," Proc. SPIE, Catheter-Based Sensing and Imaging Technology, 1068: 42-48 (1989).	
GA †	VALLFORS et al., "Automatically controlled bipolar electrocoagulation - 'COA-COMP'," Neurosurg Rev., 187-190 (1984).	
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Examiner	Date	İ
Signature	Considered	
Oignature	00110100100	

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Substitute for form 1449A/PTO

NFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

	Complete if Known
Application Number	10/676,841
Filing Date	September 30, 2003
First Named Inventor	Truckai, Csaba
Art Unit	3762
Examiner Name	Unassigned
Attorney Docket Number	021447-000710US

		Document Number	But the still a Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.¹	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AA	US-6,652,521	11-25-2003	SCHULZE	
·····	AB	US-6,632,193	10-14-2003	DAVISON ET AL.	
	AC	US-6,585,735	07-01-2003	FRAZIER ET AL.	
	AD	US-6,575,968	06-10-2003	EGGERS ET AL.	
	AE	US-6,554,829	04-29-2003	SCHULZE ET AL.	
	AF	US-6,533,784	03-18-2003	TRUCKAI ET AL.	•
	- AG	US-6,527,767	03-04-2003	WANG ET AL.	
	AH	US-6,511,480	01-28-2003	TETZLAFF ET AL.	
	AI	US-6,500,176	12-31-2002	TRUCKAI ET AL.	
	AJ	US-6,468,275	10-22-2002	WAMPLER ET AL.	
	AK	US-6,464,704	10-15-2002	SCHMALTZ	
	AL	US-6,458,130	10-01-2002	FRAZIER ET AL.	
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	AR	US-6,350,264	02-26-2002	HOOVEN	
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,	BF	US-6,174,309	01-16-2001	WRUBLEWSKI ET AL.	
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	ВН	US-6,152,923	11-28-2000	RYAN	
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	. BL	US-6,074,389	06-13-2000	LEVINE ET AL.	· · · · · · · · · · · · · · · · · · ·
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	BN	US-6,019,758	02-01-2000	SLATER	
	ВО	US-5,947,984	09-07-1999	WHIPPLE	
Examiner Signature			·	Date Considered	

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60100779 v1

Substitu	te for form 1449A/P1	ro			Complete if Known	
				Application Number	10/676,841	
INFO	DRMATION	I DIS	SCLOSURE	Filing Date	September 30, 2003	
STA	STATEMENT BY APPLICANT		First Named Inventor	Truckai, Csaba		
				Art Unit	3762	
	(use as many sh	eets as	necessary) *	Examiner Name	Unassigned	
Sheet	2	of	5	Attorney Docket Number	021447-000710US	

U.S. PATENT DOCUMENTS+									
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY		Patentee or ited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant			
	BP	US-5,911,719	06-15-1999	EGC	GERS	Figures Appear			
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	BR	US-5,800,449	09-01-1998		LES				
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	BW.	US-5,716,366	02-10-1998		TES	· · · · · · · · · · · · · · · · · · ·			
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	BY	US-5,674,220	10-07-1997		ET AL.				
	BZ	US-5,624,452	04-29-1997		TES				
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·	CP	US-5,360,428	11-01-1994	HUTCHIN					
	CO	US-5,336,221	08-09-1994	<del></del>	RSON, JR.				
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	CU	US-5,290,286	03-01-1994		LINS				
	CV	US-5,269,780	12-14-1993		OS				
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Considered Signature EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

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60100779 v1

Complete if Known Substitute for form 1449A/PTO 10/676,841 **Application Number** INFORMATION DISCLOSURE September 30, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Truckai, Csaba Art Unit 3762 (use as many sheets as necessary) **Examiner Name** Unassigned 5 Attorney Docket Number 021447-000710US Sheet of

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	DF	US-5,104,025	04-14-1992	MAIN ET AL.	
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	ES	US-659,409	10-09-1900	MOSHER	
Examiner Signature		1		Date Considered	

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